



October 10, 2008

Members of the Emory Research Community:

As you may know, the University has been under scrutiny in connection with our business practices for the management of research financial conflict of interest. I am writing to you to notify you that as of October 8, 2008 the NIH has imposed special award conditions on all awards made to Emory University. The special award conditions will affect both the submission of proposals to the NIH as well as the receipt of funding.

In accordance with the October 8, 2008, letter, Emory must submit to the NIH an institutional assurance of compliance for each pending competing or noncompeting award. Every institutional assurance must provide the following information:

1. A list identifying every Investigator participating in the research project, including, if Emory is carrying out the research through subgrantees, contractors, or collaborators, those Investigators working for such entities;
2. An assurance that OSP has on file for each identified Investigator on each project an Investigator Report of Financial Interests in Research; and/or
3. If a financial interest related to the project has been identified for any listed Investigator, a description of the financial interest and the actions taken by Emory to manage, reduce or eliminate any actual or perceived conflict of interest.

The NIH Institute or Center from whom funding has been sought will not issue an award until the institutional assurance and relevant information has been received.

As used in these requirements, "Investigator" means

- Any individual named in the grant budget, and/or
- Any person whose biographical sketch is included in the application.

The Investigator Report of Financial Interests in Research form can be found on the OSP web page at: http://www.osp.emory.edu/shared_web/forms.cfm.

It is important to note that, in the future, OSP will not submit any NIH grant application until disclosures have been received for every Investigator participating in the study. Under federal regulations, submission of the grant application constitutes an assurance from the University to NIH that all disclosures have been made in advance. All Certifications must include the original signature of the Investigator.

Any questions regarding the submission process may be directed to Sarah White in the Office of Sponsored Programs at 712-3027.

We appreciate your cooperation as we work to ensure that we work to demonstrate Emory's commitment to compliance with NIH regulations and requirements.

Sincerely,

A handwritten signature in black ink, appearing to read "David Wynes", with a long horizontal flourish extending to the right.

David L. Wynes, Ph.D.

Vice President for Research Administration

cc: Earl Lewis, Provost
Fred Sanfilippo, EVP for Health Sciences